

08.06-6

ess Mail Label No. EK821789696US

#### UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APP 1286-US Total Pages in this Submission

Docket No. o

#### TO THE ASSISTANT COMMISSIONER FOR PATENTS

**Box Patent Application** Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:										
				NTIF	TCATION FO	OR S	PECTRUM MAN	AGEMENT I	IN BROADBAND	
TEI	TELECOMMUNICATIONS SYSTEMS									
	·									
	nd invented by:									
	Craig F. Valenti and Kenneth Kerpez									
Ø Ø	a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:									
If a C	ONT	INUA	TION	API	PLICATION,	chec	k appropriate bo	and supply t	the requisite information:	
		nuat							of prior application No.:	
Which	ı is a	:								
C			ion		Divisional		Continuation-in	n-part (CIP)	of prior application No.:	
Which				_	<b>.</b>		0	t (OID)	of anion continues and the same	
<u>_</u> (	Conti	nuat	ion	Ц	Divisional	Ч	Continuation-ir	n-part (CIP)	of prior application No.:	
Enclo	Enclosed are:									
Application Elements										
1.	1. 🔀 Filing fee as calculated and transmitted as described below									
•	O ST Openiting housing the same and including the following.									
۷.	2. Specification having pages and including the following:									
	a. 🗵 Descriptive Title of the Invention						•			
	b.   Cross References to Related Applications (if applicable)									
	c.	c.   Statement Regarding Federally-sponsored Research/Development (if applicable)								
	d. Reference to Microfiche Appendix (if applicable)									
	e. 🗷 Background of the Invention									
	f.	f. 🗵 Brief Summary of the Invention								
	g.	×	Brief Description of the Drawings (if drawings filed)							
	h.	×	Detailed Description							
	i.	×	Clain	n(s)	as Classified	Belo	w			
	j.	×			of the Disclos					

## UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. APP 1286-US

Total Pages in this Submission 40

	Application Elements (Continued)											
	3.	$\boxtimes$	rawing(s) (when necessary as prescribed by 35 USC 113)									
		a.	Formal Number of Sheets 12									
		b.	Informal Number of Sheets									
	4.	<u>[.]</u>	Oath or Declaration									
		a.	Newly executed (original or copy)									
		b.	Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)									
0		C.	With Power of Attorney  Without Power of Attorney									
<u> </u>		d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).									
	5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.									
	6.		Computer Program in Microfiche (Appendix)									
7.			Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)									
		a.	☐ Paper Copy									
		b.	☐ Computer Readable Copy (identical to computer copy)									
		c.	Statement Verifying Identical Paper and Computer Readable Copy									
			Accompanying Application Parts									
	8.		ssignment Papers (cover sheet & document(s))									
			37 CFR 3.73(B) Statement (when there is an assignee)									
			nglish Translation Document (if applicable)									
11.  Informa			formation Disclosure Statement/PTO-1449   Copies of IDS Citations									
		_										
	12.	u	reliminary Amendment									
1	13.	X	cknowledgment postcard									
1	14.	×	ertificate of Mailing									
			First Class 🗵 Express Mail (Specify Label No.): EK821789696US									

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. APP 1286-US

Total Pages in this Submission 40

	Accompanying Application Parts (Continued)								
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)							
16.		Additional Enclosures (please identify below):							
<u> </u>									
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)							
		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.							
	Warning								
البيانا البيانا البينا البيانا البيانا البيانا البيانا البينانا البينانا البينانا البينانا البينانا		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.							

### UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. APP 1286-US

Total Pages in this Submission 40

Fee	Calcu	lation	and 7	Transmitta
ree '	Caicu	iation	and i	ı ransmıπa

**CLAIMS AS FILED** 

For	#Filed	#Allowed	#Extra		Rate	Fee	
Total Claims	12	- 20 =	0	x	\$18.00	\$0.00	
Indep. Claims	2	- 3 =	0	×	\$80.00	\$0.00	
<u></u>	it Claims (checl	k if applicable)			•	\$0.00	
4					BASIC FEE	\$710.00	
OTHER FEE (spe	cify purpose)					\$0.00	
					TOTAL FILING FEE	\$710.00	

A check in the amount of
--------------------------

to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.

02-1820

Charge the amount of

\$710.00

as filing fee.

- ☑ Credit any overpayment.
- ☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,

pursuant to 37 C.F.R. 1.311(b).

Signature

Dated: S/U

William A. Schoneman (Reg. No. 38,047)

Telcordia Technologies, Inc. 445 South Street, Room 1G-112R Morristown, New Jersey 07960

cc:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Docket No.										
Applicant(s): Valenti-Ke			1286-US							
		T								
Serial No.	Filing Date	Examiner	Group Art Unit							
'CDOCCTAI	** ***********************************	TO COMPANY A REAL AND A COMPANY THE TAIL								
	K INDENTIFICATION FOR SPI	ECTRUM MANAGEMENT IN	BROADBAND							
TELECOMMUNICATIO	NS SYSTEMS									
I hereby certify that this	s <u>Utility Patent Application</u>	~								
' ' ' deserted with	1. 11. 11. 11. Otales Bestel Comi	(Identify type of correspondence)								
is being aepositea witt	h the United States Postal Servi	ce "Express Mail Post Oπice τ	o Addressee" service under							
= 37 CFR 1.10 in an er	nvelope addressed to: The Com	missioner of Patents and Trac	demarks. Washington, D.C.							
	,	Tributorial C. Fallania	domaino, ************************************							
20231-0001 on	August 3, 2001									
	(Date)									
		Linda K. Ao	dams							
		(Typed or Printed Name of Person N								
		7-12/								
<del>일</del>		(Signature of Person Mailing	Correspondence)							
	-		- · · ·							
		EK82178969								
		("Express Mail" Mailing	Label Number)							
<u>u</u>										
<del></del>			•							
:	•									
	Note: Each paper must na	ve its own certificate of mailing.								
·										
			!							
	٠		ļ							
			!							